

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, MAIL STOP 1450, ALEXANDRIA, VA 22313-1450, ON THE DATE INDICATED BELOW:

BY: Danyle R. Ratch

DATE: March 22, 2004  
MAIL STOP MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Patent Application of :  
Jon Kolkin, et al. : Group Art Unit: To be assigned  
Appln. No.: 10/713,906 :  
Filed: January 14, 2003 : Examiner: To Be Assigned  
For: ADIPOSE TISSUE-DERIVED ADULT STEM CELLS : Attorney Docket No.  
FOR THE REPAIR OF ARTICULAR CARTILAGE : 59995-5003-02  
FRACTURES AND USES THEREOF :

REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the original Filing Receipt for the above-identified patent application. In the "Addressee" section, please correct the following address as follows:

delete "King and Spalding  
191 Peachtree Street, N.E.  
Atlanta, GA 30303-1763"

and replace with "Morgan, Lewis & Bockius, LLP  
1701 Market Street  
Philadelphia, PA 19103"

The enclosed executed declaration, duly signed by the inventors, authorize this change of attorney address.

In the "Applicant(s)" section, please correct the following names as follows:

delete "Jon Konkle" and change to "Jon Kolkin"

Please make these corrections and provide us with a Corrected Filing Receipt.

Respectfully submitted,

JON KOLKIN, ET AL.

March 22, 2004  
Date

By: Kathryn Doyle

KATHRYN DOYLE, Ph.D., J.D.  
Registration No. 36,317  
MORGAN, LEWIS & BOCKIUS, L.L.P.  
1701 Market Street  
Philadelphia, PA 19103-2921  
Telephone: (215) 963-5000  
Direct Dial: (215) 963-4723  
Facsimile: (215) 963-5299  
E-Mail: kdoyle@morganlewis.com

/dp  
Enclosure - marked-up copy of original filing receipt

1986719\_1.DOC



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/713,906	01/14/2003		450	08140-105040(ART2020 PROV	4	19	4

CONFIRMATION NO. 8605

## FILING RECEIPT



\*OC000000011731451\*

20786

~~KING & SPALDING~~~~191 PEACHTREE STREET, N.E.~~~~ATLANTA, GA 30303-4763~~

FEB 13 2004

Morgan, Lewis & Bockius, LLP  
 1701 Market Street  
 Philadelphia, PA 19103

Date Mailed: 01/21/2004

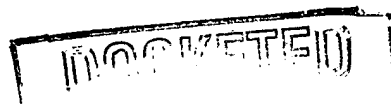
Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kolkin

Jon Konkle, Durham, NC;

Jeffrey Gimble, Durham, NC;



Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 03/17/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Adipose tissue-derived adult stem cells for the repair of articular cartilage fractures and uses thereof

Preliminary Class

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).